

Skilled Worker caseworker guidance

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About this guidance

This guidance tells caseworkers how to consider applications to enter, remain or settle in the UK under the Skilled Worker route. "You" in this guidance means a caseworker.

This guidance is designed to be used alongside <u>Appendix Skilled Worker</u> of the Immigration Rules. The Rules explain the requirements an applicant must meet, and this guidance provides additional information on how to consider their application. Paragraph references refer to Appendix Skilled Worker unless otherwise stated.

You may also need to refer to the following sections of the Rules, where relevant:

- Part 9: Grounds for Refusal
- Part 10: Police Registration
- Appendix Skilled Occupations
- Appendix Shortage Occupation List
- Appendix ATAS
- Appendix English Language
- Appendix KOL UK
- Appendix Finance
- Appendix Continuous Residence

Contacts

If you have any questions about the guidance and your line manager or senior caseworker cannot help, or if you think the guidance has factual errors, then your line manager or locally embedded expert can email the <u>Economic Migration Policy team via the Work and Study Technical Team</u>.

If you notice any formatting errors in this guidance (broken links, spelling mistakes and so on) or have any comments about the layout or navigability of the guidance then your line manager or locally embedded expert can email the <u>Guidance Rules and Forms team via the Work and Study Technical Team</u>.

Publication

Below is information on when this version of the guidance was published:

version <mark>0.4</mark> published for Home Office staff on **1 December 2020**

Changes from last version of this guidance

This is new guidance.

Related content

Overview of the Skilled Worker route

This page provides an introduction to the Skilled Worker route.

The Skilled Worker route is for European Economic Area (EEA) nationals (arriving from 1 January 2021) and non-EEA nationals who are sponsored to do a specific skilled job for a Home Office licensed sponsor. Please note, throughout this document, references to EEA nationals include Swiss nationals.

Dependent partners and children can apply on this route, as set out in the <u>dependents guidance</u>.

The route can lead to settlement in the UK.

The route replaces the Tier 2 (General) route. Existing Tier 2 (General) workers can apply for extensions, changes of employment and settlement under the Skilled Worker route.

Requirements

The requirements applicants must meet are split into three parts:

- Validity requirements (SW 1.1. SW 1.6.) these outline the minimum criteria which must be met for the application to be considered fully. They ensure, for example, the applicant has used the correct form and supplied their identity documents. Applications which do not meet these requirements are invalid and may be rejected.
- 2. Suitability requirements (SW 2.1. SW 2.2.) these check the suitability of the applicant to be granted any form of permission, not specifically whether they qualify as a Skilled Worker. Applicants must not fall for refusal on general grounds or be in breach of immigration laws. Applications which do not meet these requirements must be refused.
- 3. Eligibility requirements (SW 3.1 SW 16.2.) these are the main criteria specific to the Skilled Worker route. Applications which do not meet these requirements must be refused.

The table below sets out the paragraphs in <u>Appendix Skilled Worker</u> for each of these requirements:

Application	Validity	Suitability	Eligibility
Main applicants – entry clearance	SW 1.1. to	SW 2.1. to	SW 3.1. to
and permission to stay	SW 1.6.	SW 2.2.	SW 16.2.
Main applicants – settlement	SW 19.1. to	SW 20.1. to	SW 21.1. to
	SW 19.4.	SW 20.2.	SW 24.4.
Dependants – entry clearance and	SW 26.1. to	SW 27.1. to	SW 28.1. to
permission to stay	SW 26.5.	SW 27.2.	SW 34.2.

Dependants – settlement	SW 37.1. to	SW 38.1. to	SW 39.1. to
	SW 37.3.	SW 38.2.	SW 45.1.

Representatives

If an applicant has a UK-based representative, you must check the representative is approved to provide immigration advice with either:

- the Office of the Immigration Services Commissioner (OISC)
- one of the following designated authorities:
 - the Law Society
 - the Law Society of Scotland
 - the Law Society of Northern Ireland
 - the General Council of the Bar
 - the Chartered Institute of Legal Executives
 - the Faculty of Advocates
 - the General Council of the Bar of Northern Ireland

If the representative does not have the necessary permission to provide immigration advice, you must direct all communications to the applicant instead.

Requesting more information

If you need more information, or clarification of certain details to be able to consider granting an application, please see <u>requesting more information</u>. Where possible, you should try to identify all areas where further information is required, so it can be requested at the same time.

Verifying documents

You must conduct verification checks if you have any doubts about whether the supporting documents an applicant has submitted are genuine. If the application falls for refusal on other grounds, you do need to carry out verification checks, but you must explain in your decision that you reserve the right to carry out checks in any reconsideration.

Translating documents

If the documents provided are not in English or Welsh, the applicant must provide a fully certified translation from a professional translator or translation company that can be independently verified by the Home Office. The translation must include all of the following information:

- confirmation it is an accurate translation of the document
- the date of translation
- the full name and signature of the translator or an official from the translation company
- the translator or translation company's contact details

If no translation is supplied, you should request one. If the applicant still does not provide a translation or if you are unable to verify the translation, the document will not be accepted. You must continue to process the application as if the applicant had not provided the document.

Related content



Validity for entry clearance and permission to stay

This page tells you where to find the validity requirements an applicant must meet when they apply for entry clearance or permission to stay as a Skilled Worker.

Before considering suitability and eligibility, you must check the application is valid by referring to paragraphs SW 1.1. to SW 1.6.

If you are not satisfied the application meets all the validity requirements, you should consider whether to <u>request more information</u>, reject the application or proceed to consider.

Applications from EEA nationals

Entry clearance applications made by EEA nationals before free movement between the EU and the UK ends can be considered as valid, but you should grant entry clearance beginning no earlier than 1 January 2021.

You must treat applications for permission to stay before 1 January 2021 from EEA nationals as invalid and reject them.

Application fees and Immigration Health Charge

The applicant must have paid the relevant application fees and any Immigration Health Charge (sometimes called the Immigration Health Surcharge or IHS). For further information, please see the <u>guidance on the Immigration Health Charge</u>.

Those applying for the Health and Care visa are exempt from having to pay the Immigration Health Charge and have lower visa application fees. Further details regarding the Health and Care visa can be found here.

Those applying from countries which are signed up to the Council of Europe Social Charter (CESC), are entitled to reduced fees (including when applying for the Health and Care visa). Further details regarding the signatories to the CESC can be found here.

Biometrics and identity documents

You must be satisfied, where the applicant has provided their biometrics, these are verified against a valid passport or other travel document they have supplied.

Certificate of sponsorship

The applicant must have a certificate of sponsorship (CoS). This is a virtual document (similar to a database record) which is assigned by the sponsor. Sponsors

use a secure IT system called the <u>sponsorship management system</u> (SMS) to assign a CoS.

The reference number for the CoS should be provided in the application. If the applicant has not supplied the reference number, they must provide an explanation. If you are not satisfied the sponsor has assigned a CoS to the applicant, you may reject the application. If the reason the sponsor has not yet assigned a CoS is because of delays by UKVI (for example, a delay in processing a sponsor licence application or a request for a CoS), you may exceptionally place the case on hold pending the outcome.

You must check the CoS was assigned to the applicant no more than 3 months before the date of application. If it was assigned too early, the application may be rejected.

For information on how to check the CoS information, refer to the <u>Certificate of Sponsorship checking system</u>. (Note the CoS will also need to be viewed when assessing the Eligibility requirements.)

Minimum age

All applicants must be aged 18 or over on the date of application. If the applicant is too young, the application must be rejected.

Government or international scholarship agency awards

Where an applicant has received an award covering fees and living costs from a Government or international scholarship agency in the 12 months before the date of application, the government or agency must provide written consent to the application. The letter of consent must be on the official letter-headed paper or stationery of the organisation(s), bearing the official stamp of that organisation and issued by an authorised official of that organisation. The documents must confirm the organisation gives the applicant consent to remain in or re-enter the UK.

Switching

An applicant who is in the UK cannot apply to switch into the Skilled Worker route if they have, or were last granted, permission in any of the ways listed in paragraph SW 1.5. Please note switching is now a validity requirement, not an eligibility requirement.

Related content

Suitability for entry clearance and permission to stay applications

This page tells you where to find the suitability requirements an applicant must meet when they apply for entry clearance or permission to stay as a Skilled Worker.

You must check the application meets the suitability requirements by referring to:

- the suitability requirements for Skilled Worker, set out in paragraphs SW 2.1.
 to SW 2.2.
- the grounds for refusal, contained in <u>Part 9: grounds for refusal</u>.

Applications which do not meet the suitability requirements must be refused.

Overstaying

You must check the applicant is not in breach of immigration laws, except where permitted by the Immigration Rules in respect of periods of overstaying. Refer to the full guidance on overstaying.

Immigration bail

Any applicant who is in the UK on <u>immigration bail</u> is not suitable for the Skilled Worker route. These individuals do not hold permission to be in the UK.

Related content

Eligibility for entry clearance and permission to stay applications

This page tells you the requirements an applicant must meet to be granted either entry clearance or permission to stay as a Skilled Worker.

The requirements for granting entry clearance or permission to stay can be found in the eligibility requirements contained in Appendix Skilled Worker.

To be eligible, applicants must be awarded 50 mandatory points (for their sponsorship, job and English language skills) and 20 tradeable points (for salary and other attributes). In some cases (mainly entry clearance applications), applicants must also satisfy non-points requirements regarding tuberculosis testing, available funds and criminal records certificates.

The eligibility requirements can be found in the Rules as set out below:

- Entry requirement (SW 3.1.)
- Tuberculosis certificate (SW 3.2.)
- Points requirement (overview) (SW 4.1. to SW 4.2.)
- Points for sponsorship (mandatory) (SW 5.1. to SW 5.7.)
- Points for a job at the appropriate skill level (mandatory) (SW 6.1. to SW 6.5.)
- Points for the English language requirement (mandatory) (SW 7.1. to SW 7.4.)
- Tradeable points options (SW 8.1. to SW 13.7.)
- Consideration of salary (all tradeable points options) (SW 14.1. to SW 14.5.)
- Financial requirement (mandatory) (SW 15.1. to SW 15.3.)
- Criminal record certificate requirement (mandatory) (SW 16.1. to SW 16.2.)

Applications which do not meet these requirements must be refused.

Related content

Tuberculosis certificates

This page tells you about the tuberculosis (TB) certificate requirement for applications for entry clearance in the Skilled Worker route.

An applicant must provide a valid TB certificate with their application, if they have been residing within a country listed in <u>Appendix T</u> of the Immigration Rules for more than 6 months immediately preceding the application.

If an applicant has not supplied a valid TB test certificate when they are required to, you should refuse the application under paragraph SW 3.2.

There is information on GOV.UK regarding which applicants are <u>required to obtain a</u> <u>TB certificate</u> before applying and the valid test centres

Related content



Mandatory points requirement

This page provides an overview of how mandatory points are scored for Skilled Workers.

Under paragraph SW 4.1., an applicant must score 50 mandatory points against the following requirements:

Points requirement	Relevant rules	Points
Sponsorship	SW 5.1. to SW 5.7.	20
Job at appropriate skill level	SW 6.1. to SW 6.5.	20
English language skills at level B1 (intermediate)	SW 7.1. to SW 7.4.	10

If an applicant scores fewer than 50 mandatory points, you must refuse their application and explain where you have not awarded points.

Related content

Contents
Sponsorship
Job at the appropriate skill level
English language

Sponsorship

This page explains how to assess the sponsorship requirement for Skilled Workers.

The applicant must score 20 points for sponsorship. To award these points, you must be satisfied the application meets the requirements in paragraphs SW 5.1. to SW 5.7.

Valid certificate of sponsorship

A certificate of sponsorship (CoS) is only valid if certain requirements are met. The CoS assigned to the applicant must meet all the requirements set out in paragraph SW 5.1. You should contact the sponsor to request any missing details (in particular, the employer PAYE reference number the applicant will be assigned to may be missing if the CoS was assigned before the launch of the Skilled Worker route).

If the application is for entry clearance, the CoS must be a "Defined CoS". This means the sponsor has requested it for the specific job and salary shown and has not assigned it from their general allocation.

Licensed sponsor

The sponsor must hold a valid Skilled Worker sponsor licence. The sponsor must also be A-rated, unless the applicant is applying for an extension to continue working for the same sponsor. See the <u>sponsorship guidance</u> for more details.

You must confirm these requirements are met by accessing the <u>Certificate of Sponsorship Checking System</u>. You can contact the Sponsor Licensing Unit (SLU) to find out more information about the status of a sponsor's licence if needed.

If the applicant's sponsor loses its licence while the application is under consideration, you have a duty to inform the applicant promptly.

You must only inform the applicant their sponsor no longer has a licence, not the reasons why. The only exception is if the licence was revoked for reasons directly linked to this particular application, and those reasons therefore have particular relevance to the refusal – for example, you are refusing on genuine vacancy grounds (see below) as well as the fact the sponsor is no longer licensed.

Immigration Skills Charge

The sponsor must have paid any Immigration Skills Charge (ISC) which applies to the application. You must check the ISC payment is correct. If:

 the sponsor has paid the correct charge, or is exempt, continue with consideration as normal

- the sponsor has underpaid and there are other grounds for refusal which could not be remedied by writing out for further information, you must refuse the application and ensure ISC non-payment refusal wording is included
- the sponsor has under-paid and you need to write out for further information, or the ISC is the only reason to refuse the application, you must:
 - give the sponsor an opportunity to pay the charge by contacting the <u>ISC</u> Admin Team.
 - o exclude from the service level agreement as a complex case
 - inform the applicant of the reason why a decision cannot be made within the Service Level Agreement
 - o defer the case for 10 UK working days
- the sponsor has over paid, they will need a partial refund

Genuine vacancy

You must not award points for sponsorship if you have reasonable grounds to believe the job the applicant is being sponsored to do:

- · does not exist; or
- is a sham (for example, the job exists but the applicant will not be doing it); or
- has been created mainly so the applicant can apply for permission.

To assess this, you may request additional information (see Requesting more information).

Whether the job has been exaggerated to make it appear to meet the Skilled Worker requirements is considered separately, when awarding points for a job at the appropriate skill level.

Genuine vacancy concerns may lead to a compliance visit to the sponsor. Where it is not possible at the outset to decide such applications, they should remain on hold pending the outcome of a compliance visit. You must inform the applicant their application is on hold due to further checks with their sponsor at the same time as you inform the sponsor of those checks, but you must not disclose any as-yet-unproven concerns about the sponsor. If the result is the sponsor's licence is revoked, you must explain fully any genuine vacancy concerns in the decision letter, and not rely solely on the fact the sponsor does not have a licence.

If you believe the applicant is complicit in being sponsored for a vacancy which is not genuine, you may consider inviting them to attend an interview. You must put your concerns to the applicant in clear language and give them the chance to respond (either in an interview or in writing) before making a decision. If you find the applicant to be complicit, you must include this in the refusal decision. For more details, you should consider the guidance on <u>false representations</u>.

Working for third parties

Where a Skilled Worker is being supplied to one organisation by another organisation, their sponsor must be whoever has full responsibility for the duties, functions and outcomes, or outputs of the job.

For example, company A has a contract with a client - company Z - to deliver an IT solution within agreed timescales. An applicant, who is sponsored by company A to work on that project, may be sent to work for the length of the contract at company Z's premises, but they remain employed by company A throughout the period of the contract. As company A is responsible for their duties, functions, outputs or outcomes, company A must be the applicant's sponsor.

Asponsor can only assign a CoS if they have full responsibility for deciding the duties, functions and outcomes or outputs of the job. Where the applicant is carrying out work for a third party on their sponsor's behalf, they must be contracted by their sponsor to provide a time-bound, non-routine service or project on their sponsor's behalf. This means a service or project which has a specific end date, after which it will have ended, or the service provided will no longer be operated by their sponsor or anyone else. It also means an applicant must not be:

- hired to a third party who is not the sponsor to fill a position with that party, whether temporary or permanent (for example, an agency worker filling a vacancy with a third-party); or
- engaging in contract work to undertake an ongoing routine role or to provide an ongoing routine service for a third party who is not their sponsor, regardless of the nature or length of any arrangement between the sponsor and the third party.

Related content

<u>Contents</u> Mandatory points requirement

Job at an appropriate skill level

This page explains how to assess the skill level requirement for Skilled Workers.

The applicant must score 20 points for a job at the appropriate skill level. To award these points, you must be satisfied the applicant is being sponsored in an occupation code listed in Table 1 or Table 2 of Appendix Skilled Occupations.

You must not award points for a job at the appropriate skill level if you are not also awarding the 20 mandatory points for sponsorship.

Exaggerated or incorrect occupation codes

You must not award points for a job at the appropriate skill level if you have reasonable grounds to believe the sponsor has not chosen an appropriate occupation code. Factors which may indicate this could include when:

- the job description appears to be a standard or template response used for other businesses and the application is in a high-risk sector; or
- the applicant has been refused previously on similar grounds.

This is not an exhaustive list.

A sponsor may have chosen a less appropriate occupation code either by accidental error or intentionally. You should request additional information where you have concerns (see Requesting more information), to give the sponsor an opportunity to correct any accidental error and/or address any questions about whether they have misrepresented the job.

You should consider whether the sponsor has chosen a less appropriate occupation code for any of the following reasons:

- to make a job which is not at the appropriate skill level appear more skilled; or
- to be able to pay the applicant a lower going rate; or
- to qualify for tradeable points for a job in a shortage occupation; or
- to qualify for tradeable points for a relevant PhD qualification.

To support this assessment, you may, in particular, consider:

- whether the sponsor has shown a genuine need for the job as described; and
- whether the applicant has the appropriate skills, qualifications and experience needed to do the job as described; and
- the sponsor's history of compliance with the immigration system including, but not limited to, paying its sponsored workers appropriately; and
- any additional information from the sponsor.

This is not an exhaustive list.

Concerns about exaggerated or incorrect jobs may lead to a compliance visit to the sponsor. Where it is not possible at the outset to decide such applications, they should remain on hold pending the outcome of a compliance visit. You must inform the applicant their application is on hold due to further checks with their sponsor, but must not disclose any as-yet-unproven concerns about the sponsor. If the result is the sponsor's licence is revoked, you must explain fully any genuine vacancy concerns in the decision letter, and not rely solely on the fact the sponsor does not have a licence.

If you consider the applicant is aware their job is not at the appropriate skill level and is complicit in misrepresenting it, you may consider inviting them to attend an interview. You must put your concerns to the applicant in clear language and give them the chance to respond (either in an interview or in writing) before making a decision. If you find the applicant is complicit, you must include this in the refusal decision.

The implications for an applicant of a finding of deception can be significant and is a fact-sensitive issue. If an applicant is lawfully in the UK, the consequences are likely to be serious and to mean you must give the applicant a chance to respond before refusing their application. If the application is for entry clearance, it will not reach the required level of seriousness, because in most such cases a refusal will not change the applicant's circumstances. For more details, you should consider the guidance on <u>false representations</u>.

Related content

<u>Contents</u> <u>Mandatory points requirement</u> <u>Sponsorship</u>

English language

This page explains how to assess the English language requirement for Skilled Workers.

The applicant must score 10 points for English language skills equivalent to level B1 of the Common European Framework of References for English language in all 4 components (reading, writing, speaking and listening). To award these points, you must be satisfied the application meets the requirements in paragraphs SW 7.1. to SW 7.4.

To assess whether the requirement is met, you should refer to <u>the English language</u> guidance.

Related content

Contents
Mandatory points requirement

Tradeable points requirement

This page provides an overview of how tradeable points are scored for Skilled Workers.

In addition to the 50 mandatory points under paragraph SW 4.1., an applicant must score 20 mandatory points under paragraph SW 4.2., which contains six options:

Option	Description	Relevant rules	Points
Α	Salary	SW 8.1. to SW 8.5.	20
В	Salary and relevant PhD qualification	SW 9.1. to SW 9.10.	20
С	Salary and relevant STEM PhD qualification	SW 10.1. to SW 10.6.	20
D	Salary and a job in a shortage occupation	SW 11.1. to SW 11.6.	20
Е	Salary and applicant is a new entrant	SW 12.1. to SW 12.8.	20
F	Salary and a job in a listed health or education occupation	SW 13.1. to SW 13.7.	20

All the tradeable points options require the salary is assessed according to specific criteria, which are set out in paragraphs SW 14.1. to SW 14.5.

If an applicant scores fewer than 20 tradeable points, you must refuse the application and advise the applicant how you have considered the information provided against the tradeable points options and why they have not scored 20 tradeable points. Further information is available under assessing tradeable points.

Related content

Contents
Assessing tradeable points
Assessing salaries
Relevant PhD qualifications
Shortage occupations
New entrants

Assessing tradeable points

This page tells you how to assess tradeable points for Skilled Workers.

The application process will ask applicants and sponsors to provide all relevant information which might attract tradeable points. It is possible for applicants to score tradeable points in more than one way. This does not mean you necessarily need to consider every option fully in every application.

You should first consider the mandatory points for sponsorship and a skilled job. Then you should consider whether the occupation code is in Table 1 or Table 2 of Appendix Skilled Occupations (as this will affect the options which are available). Salary should be considered next, then (in the case of occupations in Table 1) any other information which could attract tradeable points.

Are the sponsorship and skilled job requirements met?

All the tradeable points options rely on the applicant having a valid certificate of sponsorship (CoS) and a genuine job which meets the skills threshold. You must not award tradeable points if you are not awarding the 20 mandatory points for sponsorship and/or the 20 mandatory points for a job at an appropriate skill level. You do not need to assess tradeable points further if an application falls for refusal for these reasons.

Occupations in Table 1

The majority of occupation codes eligible for the Skilled Worker route are listed in Table 1 of <u>Appendix Skilled Occupations</u>. Tradeable points options A to E (detailed above) apply to these occupations. If the occupation is listed in Table 1, you must assess all the tradeable points options until you find one where the applicant can be awarded 20 points, or until you have exhausted all the options and the application falls for refusal. For occupations listed in Table 2 of <u>Appendix Skilled Occupations</u>, please see the next section.

It is recommended you assess the tradeable points options firstly by assessing salary (which applies to all tradeable points options). The "Assessing salaries" section explains which tradeable points options to consider further, depending on the salary.

In doing so, you must take into account all the available information, and seek further information from the applicant or their sponsor where appropriate. For example:

 If a sponsor has not stated the job is a shortage occupation, but they have selected an occupation code which is on the shortage occupation list, you must consider whether the job should be treated as a shortage occupation. If only some jobs within the occupation are listed in Appendix Shortage Occupation List, and it is unclear from the job description whether the applicant's job is included, you should seek further information from the sponsor. You do not, however, need to make contact speculatively if there is nothing in the current application to indicate an applicant may meet the requirements of a tradeable points option or if they score the required 20 tradeable points in another way.

If tradeable points cannot be awarded under any of options A to E, your decision must also show you have considered option F (and awarded 0 tradeable points under it, as the job is not in an eligible occupation).

Occupations in Table 2

Some health and education occupation codes are listed in Table 2 of <u>Appendix Skilled Occupations</u>. The going rates for these occupations are taken from national pay scales and no discounts to the going rates are available. If an applicant is being sponsored in an occupation code in Table 2, they can **only** score tradeable points based on option F.

You do not need to assess other tradeable points options but, if refusing, the decision letter must state clearly the applicant is not eligible under the other options because of their occupation code.

Related content

Contents
Tradeable points requirement
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Assessing salaries

This page explains how to assess salaries for Skilled Workers.

For each tradeable points option, sponsors must pay applicants either the relevant general threshold or the going rate (subject to any permitted reductions), whichever is higher.

What to include

Under paragraphs SW 14.1. to SW 14.2., only guaranteed basic gross pay can be included. No other pay and benefits must be included when assessing salary. Examples of pay and benefits which are not included are listed in paragraph SW 14.2. This is not an exhaustive list. However, if an applicant has chosen to give up part of their basic pay as part of a salary sacrifice scheme, this should not be deducted.

Paragraph SW 14.5(a) sets out a transitional arrangement for Tier 2 (General) migrants. Their salaries can continue to include allowances, providing **all** the following conditions are met:

- they are applying to extend with the same sponsor; and
- the date of application is before 1 December 2026;
- the allowances are guaranteed; and
- the allowances will be paid for the duration of the applicant's permission (one-off bonuses are not included and cannot be pro-rated); and
- the allowances would be paid to a local settled worker in similar circumstances (such as London weighting).

Where a sponsor has listed these types of allowances, but the transitional arrangement does **not** apply, the sponsor may be unaware of the Rules changes on allowances. You should consider contacting the sponsor to give them the opportunity to amend the salary and allowances package, if this could alter the decision on the application.

The general threshold

The general threshold is the minimum salary which applies regardless of the applicant's occupation code. This may be £25,600, £23,040, £20,800 or £20,480, depending on the tradeable points option.

The general threshold is the same, regardless of how many hours a week the applicant is sponsored to work. It cannot be pro-rated for part-time work.

However, if the applicant is being sponsored to work more than 48 hours a week, only the salary for the first 48 hours a week can be considered. For example, if the applicant is sponsored to work 60 hours a week for £10 per hour, they will be

considered to have a salary of £24,960 (£10 x 48 x 52) per year and not £31,200 (£10 x 60 x 52).

The going rate

The going rate is the minimum salary which applies for a particular occupation code. The going rates are set out in <u>Appendix Skilled Occupations</u>. Depending on the tradeable points option, applicants must be paid either the full going rate, or 70%, 80% or 90% of the going rate. These reductions are not permitted for applicants sponsored in occupation codes listed in Table 2 of <u>Appendix Skilled Occupations</u>.

Going rates must be pro-rated based on the weekly working hours stated on the certificate of sponsorship (CoS).

The going rates in Table 1 of <u>Appendix Skilled Occupations</u> are based on a 39-hour week. Hourly rates are shown in brackets. To avoid rounding errors, you should pro-rate the going rate based on the annual figure, rather than the hourly figure. You do this by dividing the annual going rate by 39, then multiplying by the weekly hours stated by the sponsor. For example, if the annual going rate is £36,000 and the applicant is sponsored to work a 26-hour week, the pro-rating calculation would be:

£36,000
$$\div$$
 39 x 26 = £24,000

The going rates in Table 2 of <u>Appendix Skilled Occupations</u> are based on a 40-hour week (doctors), a 37.5-hour week (NHS Agenda for Change occupations) or the definition of a full-time worker (teaching occupations). The pro-rating calculation must be adjusted accordingly, for example dividing by 37.5 rather than by 39. For teaching occupations (where weekly working hours are not consistent throughout the year, due to term dates), you should ask the sponsor to confirm what proportion of a full-time equivalent (FTE) the applicant is, and pro-rate the going rate accordingly.

The applicant's full weekly hours must be included when checking their salary against the going rate, even if they work more than 48 hours a week. For example, an applicant who works 60 hours a week in an occupation code with a going rate of £39,000 must be paid £60,000 (£39,000 \div 39 x 60), not £48,000 (£39,000 \div 39 x 48).

If the applicant's salary is less than the pro-rated going rate, and they are being sponsored in an occupation code listed in Table 1 of <u>Appendix Skilled Occupations</u>, you must calculate what percentage of the going rate they are being paid. This is so you can determine which (if any) tradeable points options they may be eligible for. The worked example below shows how to do this.

Worked example

An applicant's salary is £28,000, including £2,000 allowances.

Their sponsor has stated they will work 52 hours a week.

The allowances cannot be included, so only £26,000 salary can be considered.

For the general threshold, only the first 48 hours a week can be included:

£26,000
$$\div$$
 52 x 48 = £24,000

The applicant does not meet the £25,600 general threshold. They may, however, still be able to score tradeable points from options which include the £23,040, £20,800 or £20,480 general thresholds.

The going rate for the occupation code is £21,000 for a 39-hour week.

For the going rate, all the weekly hours must be included when pro-rating. The prorated going rate is therefore:

£21,000
$$\div$$
 39 x 52 = £28,000

The applicant's salary of £26,000 is less than this, so you must calculate the percentage of the going rate they are paid:

£26,000
$$\div$$
 £28,000 x 100 = 93% (rounding up)

Because the applicant is only being paid 93% of the going rate, they cannot score tradeable points from options which require them to be paid the full going rate. They may, however, still be able to score tradeable points from options which include 70%, 80% or 90% of the going rate.

Awarding tradeable points for salary

After carrying out the salary assessment above, you must consider whether the applicant can be awarded tradeable points.

If the applicant is (or was) a Tier 2 (General) migrant, you should first consider whether the transitional arrangement at paragraph SW 14.5(b) applies. (Please note this is different from the transitional arrangement at paragraph SW 14.5(a), mentioned in the "What to include" section above.)

Under the transitional arrangement at paragraph SW 14.5(b), an applicant can be awarded 20 points if **all** the following conditions are met:

- the date of application is before 24 May 2023; and
- the applicant had Tier 2 (General) permission based on a CoS assigned to them before 24 November 2016; and
- the salary meets a general threshold of £20,800; and
- the salary meets the full pro-rated going rate; and

• the applicant is also being awarded the 20 mandatory points for sponsorship under SW 5.7. and the 20 mandatory points for a job at the appropriate skill level under SW 6.4.

If the transitional arrangement does not apply, you must consider whether the applicant has provided any evidence which shows you can award tradeable points as follows:

Occupation codes in Table 1 of Appendix Skilled Occupations

Salary	Casework action
At least: - £25,600; and - the full pro-rated going rate.	 Award 20 points for salary alone (option A). No need to consider other tradeable points options.
At least: - £23,040; and - 90% of the pro-rated going rate.	 Does the applicant have a relevant PhD? (options B and C) - you can award 10 points for salary if option B applies. Is the job in a shortage occupation? (option D) Is the applicant a new entrant? (option E)
At least: - £20,480; and - 80% of the pro-rated going rate.	 Does the applicant have a relevant STEM PhD? (option C) Is the job in a shortage occupation? (option D) Is the applicant a new entrant? (option E)
At least: - £20,480; and - 70% of the pro-rated going rate.	Is the applicant a new entrant? (option E)
Less than the above	The applicant cannot score tradeable points. (You must also refuse under option F due to the job not being in an eligible occupation.)

Occupation codes in Table 2 of Appendix Skilled Occupations

Salary	Casework action
At least: - £20,480; and - the full pro-rated going rate.	 Award 20 points for a job in a listed health or education occupation and salary (option F). No need to consider other tradeable points options.
Less than the above	If the applicant is sponsored as a nurse or midwife, their salary may be temporarily (for up to 8 months) less than £20,480 per year, but only if the conditions set out in paragraph SW 13.4. to SW 13.5. are met.

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• The applicant cannot score tradeable points in other circumstances.

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Relevant PhD qualifications

This page explains how to assess relevant PhD qualifications for Skilled Workers (tradeable points options B and C).

Eligible occupations

Not all occupations are eligible for tradeable points for a relevant PhD qualification. You should check the "Eligible for PhD points (SW)?" column in Table 1 of <u>Appendix Skilled Occupations</u>. If the applicant's occupation code is not listed with a "yes" in this column, you do not need to consider these options any further.

The occupation codes listed as being eligible for PhD points are all those where the first two digits are "11", "21", "22", "23", "24" or "31". (Note: occupation codes beginning with "12" are not included in this list.)

Checking qualifications

If the applicant is relying on a recognised qualification awarded by a **UK** institution, they are asked to provide a copy of their certificate. An academic reference, together with an explanation why the certificate is unavailable, may also be accepted. Acceptable reasons for the certificate not being available could include the certificate not having been issued yet, or the university being unable to replace a lost or damaged certificate.

If the applicant is relying on a recognised qualification awarded by an **overseas** institution, UK NARIC must have verified it as genuine and equivalent to a UK PhD. The sponsor must state the UK NARIC reference number on the certificate of sponsorship (CoS).

You must check the UK NARIC UKVI Verification Portal. You will be asked to input the applicant's UK NARIC reference number and date of birth. The portal will return one of four outcomes. It will also return the UK equivalency of the qualifications. Only "PHD" will be an acceptable equivalency.

Outcome	What it means	Casework action
"Verified as genuine" and equivalency is "PHD"	Qualification is genuine and meets the standard of a UK PhD.	Award tradeable points for the qualification.
"Verified as genuine" and any other equivalency	Qualification is genuine but does not meet the standard of a UK PhD.	Award 0 tradeable points for the qualification.
"Unable to verify"	Qualification may or may not be genuine.	Request evidence of the qualification from the applicant.

Outcome	What it means	Casework action
		Consider inviting the applicant to interview.
"Does not match the institution's records"	Applicant does not have the qualification.	Award 0 tradeable points for the qualification. Refer to guidance on false representations.
"Not applicable"	Applicant has requested the English language checking service from UK NARIC, rather than the PhD checking service.	Request the correct UK NARIC check – contact both the applicant and their sponsor.

An example of when UK NARIC may be unable to verify could be where the overseas university no longer exists, due to military conflict.

Where the application was made before 31 December 2020 and the applicant did not provide a UK NARIC reference number, because they are waiting for UK NARIC to supply the certificate, you should allow up to 30 days for the applicant and sponsor to provide the UK NARIC reference number.

If the UK NARIC reference number is incorrect, this may have been a simple error. You should check the CoS and the application to see if the correct number has been provided elsewhere. If not, you should contact the applicant to give them an opportunity to provide the correct number.

Checking relevancy for the job

The sponsor must provide a credible explanation of how the qualification is relevant to the job.

You should consider the information provided, including any explanation from the sponsor. If it is unclear whether the qualification is relevant to the job, you should refer the case to a manager. If it is still unclear, you should contact the sponsor for clarification.

STEM qualifications

Under tradeable points option C, the sponsor must provide a credible explanation as to why the qualification in question is in a Science, Technology, Engineering or Mathematics (STEM) subject.

You should consider the information provided, including any explanation from the sponsor. If it is unclear whether the qualification is in a STEM subject, you should

discuss the case with a manager. If it is still unclear, you should contact the sponsor for clarification.

Awarding tradeable points

Option B is different from other tradeable points options. You award 10 points if the salary requirements are met and 10 points for a relevant PhD qualification. This means applicants may be awarded 0, 10 or 20 points under option B.

Option C is like other tradeable points options. Applicants must meet both the salary and qualification requirements to be awarded 20 points.

If you are not satisfied the qualification is in a STEM subject, but all the other qualification requirements are met, you must award 10 tradeable points for the qualification under option B, rather than 0 tradeable points under option C. (If the applicant is awarded a further 10 tradeable points for their salary, they will have the required 20 tradeable points.)

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Shortage occupations

This page explains how to assess a job in a shortage occupation for Skilled Workers (tradeable points option D).

Shortage occupations are listed in Appendix Shortage Occupation List.

For some occupation codes, jobs are only on the shortage occupation list if:

- they meet additional criteria (which may include certain jobs, experience, salary and/or other requirements); and/or
- the job is based in a particular nation (or nations) of the UK.

The certificate of sponsorship (CoS) must confirm the job is in a shortage occupation and, where there are additional criteria in <u>Appendix Shortage Occupation List</u>, those criteria are met. Where relevant, you should use the "working at" address to determine which UK nation the job is based in.

You should seek further information from the sponsor, if it is unclear from the CoS whether the job is in a shortage occupation or not. For example, some jobs in the occupation code are on the shortage occupation list and others are not. The job might be on the shortage occupation list, but it is not clear from the job title and the sponsor has not marked this in the CoS. You should contact the sponsor to confirm and if so, you should make refund arrangements for the fee difference.

Unlike under Tier 2 (General), there is no minimum number of weekly hours for shortage occupations in the Skilled Worker route, providing the relevant general salary threshold requirements are met and the 'going rate' salary requirements are met when pro-rated.

Chefs

The additional criteria are particularly significant for chefs, as the shortage occupation criteria includes a minimum salary of £29,570 (among other requirements).

This £29,570 requirement is considered in the same way as a general salary threshold – it cannot be pro-rated for part-time work, but only the salary for the first 48 hours a week can be considered (see the "Assessing salaries" section for more details).

Chefs who are paid less than £29,570 (or where any of the other additional criteria are not met) cannot score tradeable points for a job in a shortage occupation and will need to score tradeable points in another way. You will need to request a fee top-up if the applicant has only paid the shortage occupation fee. For example, if their salary is at least £25,600, you can award points under option A.

Fees

Skilled Worker application fees are lower for applicants with a job in a shortage occupation. If an applicant has paid the lower fee, but cannot score points for a job in a shortage occupation, you should contact the applicant and advise them:

- they cannot score points for a job in a shortage occupation;
- if they wish to be considered for other tradeable points, they will need to pay a top-up to the (non-shortage) Skilled Worker fee; and
- if no top-up is paid, they will not be considered for other tradeable points.

Conversely, if an applicant has paid the higher fee, but is scoring points for a job in a shortage occupation, you should arrange for the fee difference to be refunded.

Jobs removed from the Shortage Occupation List

If the applicant was last granted permission for a job in a shortage occupation (either under Tier 2 (General) or Skilled Worker) and the job is no longer included in Appendix Shortage Occupation List for the relevant UK nation, you can still award tradeable points for a job in a shortage occupation. They must be applying to continue working in the same job for the same sponsor. If they are applying to work for a different sponsor, they will need to score tradeable points in another way.

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New entrants

This page explains how to assess whether a Skilled Worker applicant is a new entrant (tradeable points option E).

A "new entrant" means a new entrant to the labour market, in other words someone who is near the start of their career, who meets certain criteria. It does **not** mean an applicant who is making their first Skilled Worker application or entering the UK for the first time.

You can consider an applicant to be a new entrant if they meet any of the options in the table below:

Option	New entrant criteria	Information required from sponsor
1.	Applicant is under 26 on the date of application	None – check the applicant's passport.
2.	 Applicant is sponsored for a post-doctoral position in one of the following occupations: 2111 Chemical scientists; 2112 Biological scientists and biochemists; 2113 Physical scientists; 2114 Social and humanities scientists; 2119 Natural and social science professionals not elsewhere classified; or 2311 Higher education teaching professionals. 	Check the occupation code on the certificate of sponsorship. The job title or description must confirm it is a post-doctoral position.
3.	Applicant is working towards a recognised professional qualification in a UK-regulated profession	Check the CPQ website to confirm whether the job is in a UK-regulated profession. A job qualifies if it appears on any of the four lists. You should request further job details from the sponsor if the sponsor has stated the applicant is working towards a professional qualification and it is unclear whether the job is in a UK-regulated profession. The sponsor must confirm which recognised professional qualification the applicant is working towards — this must be a qualification accepted

Option	New entrant criteria	Information required from sponsor
		by the regulatory body for the profession.
4.	Applicant is working towards full registration or chartered status with the relevant professional body for the job they are sponsored for	The sponsor must confirm who the relevant professional body is and the applicant is working towards full registration or chartered status.
5.	Applicant is switching from Tier 1 (Graduate Entrepreneur)	None – check the applicant's immigration history.
6.	Applicant's most recent permission was under Tier 4 (General) or the Student route. The permission must have expired less than 2 years before the date of application. In that permission (or previous) permission, the applicant must have been sponsored to study one of the following courses (not any other qualifications of an equivalent level): • a UK bachelor's degree; • a UK master's degree; • a UK PhD or other doctoral qualification; • a Postgraduate Certificate in Education; or • a Professional Graduate Diploma of Education. The applicant must have completed (or be applying no more than 3 months before they are expected to complete) the course. An exception applies to PhDs and other doctoral qualifications, where the applicant must have completed at least 12 months' study in the UK towards the qualification.	Confirmation (from the applicant or their sponsor) the applicant has completed (or the date they are expected to complete) their course, or they have completed at least 12 months' study in the UK towards a PhD or other doctoral qualification. Check the applicant's immigration history for other information.

You should request relevant missing information from the sponsor, if the sponsor has indicated the applicant is a new entrant but has not provided the necessary details. You should discuss the case with a manager before doing this.

4-year time limit

Applicants can be new entrants for a maximum of 4 years. The 4 years includes time spent in any Tier 2 route or as a Skilled Worker, whether or not that permission was for a continuous period.

An applicant cannot be considered as a new entrant for only part of the time they are applying for.

If the applicant is applying for more than 4 years, or if granting the application would mean they would have more than 4 years' permission in total (whether continuous or not) as a Tier 2 migrant and/or as a Skilled Worker, they cannot score tradeable points as a new entrant. They will need to score tradeable points in another way. You must not grant them a shorter permission than they are being sponsored for, so they would meet the new entrant requirements.

Where the applicant has time left under the 4-year time limit where they could qualify as a new entrant, you should discuss the case with a manager to decide whether to contact the sponsor and give them the opportunity to revise the dates on the certificate of sponsorship, to allow the applicant to qualify as a new entrant. Where the sponsor agrees, the Immigration Skills Charge and Immigration Health Charge may need to be re-calculated. You must not grant the applicant a shorter permission than they are being sponsored for, so they would meet the new entrant requirements, without the sponsor's agreement.

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Financial Requirement

This page explains how to assess the financial requirement (previously known as maintenance) for Skilled Workers.

An applicant will automatically meet the financial requirement when they are applying for permission to stay in the UK, having been in the UK for at least 12 months with permission on the date of application. See the <u>financial requirement guidance</u> for details on how to consider this.

When the above does not apply, an applicant can meet the financial requirement by:

- their sponsor certifying they will, if necessary, maintain and accommodate the applicant up to the end of the first month of their employment, to an amount of at least £1,270; or
- providing evidence showing they have held funds of at least £1,270 for a 28-day period as set out in the <u>financial requirement guidance</u>. (This has reduced from the 90-day requirement which existed for Tier 2 applications.)

Related content

Criminal records certificates

This page explains about the criminal records certificate requirement for Skilled Workers.

The criminal records certificate requirement applies if **all** of the following circumstances apply:

- the application is for entry clearance; and
- the applicant is being sponsored in an occupation code listed in paragraph SW 16.1.

If the requirement applies, the applicant must provide a criminal records certificate for any country they have been present in for 12 months or more (whether continuously or in total) in the 10 years before the date of application, while they were aged 18 or over.

To assess whether the requirement is met, refer to the guidance on criminal records certificates.

Related content

Changes of employment

This page explains when a Skilled Worker must make a change of employment application and how to consider this.

When a change of employment application is needed

The circumstances where a Skilled Worker's job can change without needing a fresh application are set out in <u>Part 9 of the Immigration Rules</u> (paragraphs 9.29.1 to 9.31.3). Other changes in job mean the Skilled Worker must re-apply with a new certificate of sponsorship (CoS) for their new job. This is referred to as a "change of employment" application.

A person must make a change of employment application if they:

- change employer
- remain with the same employer and:
 - change their core duties which means their new job is in a different occupation code to the one stated on their original certificate of sponsorship; or
 - change their core duties which means they change jobs from one currently included in <u>Appendix Shortage Occupation List</u> to one which is not included.

A person does not need to make a change of employment application if:

- they are staying with the same employer and changing their job to one in the same occupation code, and the change does not mean moving from a job included in <u>Appendix Shortage Occupation List</u> to one which is not included
- their basic pay increases
- they are moving under:
 - Transfer of Undertakings (Protection of Employment) (TUPE) arrangements, or
 - o equivalent statutory transfer schemes, or
 - the Cabinet Office Statement of Practice on Staff Transfers in the Public Sector,

they are still working in the same occupation code and they would still score 70 points if they were to make a new Skilled Worker application

- their pay was reduced or stopped during a period of absence of less than 4
 weeks in a calendar year or (if longer than 4 weeks in a calendar year) for a
 reason listed in paragraph 9.30.1. of Part 9 of the Immigration Rules.
- their salary is reduced, but they would still score 70 points if they were to make a new Skilled Worker application under the Rules currently in place

Sponsors must still notify UKVI of any of the above changes (other than basic pay increases due to annual increments or temporary salary reductions permitted under the Sponsor Guidance).

If the applicant has been subject to a transfer under TUPE (or similar as listed above), the Sponsor Licensing Unit (SLU) will check the transfer was done correctly. They will update the applicant's ATLAS or CRS record with the new sponsors details.

Considering a change of employment application

You must consider a change of employment application in the same way as an initial application.

The applicant must:

- provide a new CoS from their new sponsor
- meet all the suitability and eligibility requirements

Related content

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Supplementary and secondary employment

This page explains supplementary and secondary employment in the Skilled Worker route.

Supplementary employment

In addition to the job specified on the certificate of sponsorship (CoS), a Skilled Worker's conditions allow them to do extra work if it is:

- in either a job in <u>Appendix Shortage Occupation List</u> or a job in the same occupation code as the job for which the CoS was assigned
- no more than 20 hours a week
- outside the working hours covered by the CoS

If the extra work meets the above requirements, the applicant does not need to inform the Home Office before taking extra work.

Secondary employment

A Skilled Worker can apply to do a second (additional) job that does not qualify as supplementary employment. For example, it requires more than 20 hours work a week. They will need a new CoS for this second job and must apply for a variation of permission, in addition to the certificate and permission for their existing job. This is because working in the second job is not covered by their existing conditions.

They cannot apply for further permission to stay for the second job until they have started working for their first sponsor. They will need to make a new application which must confirm they want to change their existing permission. The confirmation must include:

- the applicant's full name
- date of birth
- CoS reference number, from the current permission
- confirmation of the date when the current permission expires

If you approve their secondary employment, you will be varying the applicant's initial permission and the applicant will have 2 sponsors during the period both certificates are valid. Where the applicant has a biometric residence permit (BRP), you must arrange for a new BRP card to be issued. Where the CoS reference number is displayed, the card should now read '2 CoS as Letter'. This indicates the applicant has secondary employment.

You must also change the applicant's approval letter to state the primary and secondary sponsors and the employment end dates for each. You must tell the

applicant they must keep the approval letter with their BRP card as proof of their right to work.

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Settlement

This page explains how to assess settlement applications in the Skilled Worker route.

The validity and suitability requirements for settlement applications are set out at paragraphs SW 19.1. to SW 20.2. Refer to the validity and suitability sections earlier in this document for more information, although please note no certificate of sponsorship (CoS) or Immigration Health Charge is needed for settlement applications.

The guidance below explains how to assess the eligibility requirements, which are set out at paragraphs SW 21.1. to SW 24.4.

Qualifying period

The applicant must have spent a continuous period of 5 years in the UK, consisting of time with permission in any of, or any combination of, the following routes:

- Skilled Worker
- Tier 2 (General)
- Global Talent
- Innovator
- T2 Minister of Religion / Tier 2 (Minister of Religion)
- T2 Sportsperson / Tier 2 (Sportsperson)
- Representative of an Overseas Business
- Tier 1 (Exceptional Talent)
- Tier 1 (Entrepreneur)
- Tier 1 (Investor)
- Tier 1 (General)

The most recent permission must have been in either the Skilled Worker or Tier 2 (General) route. An applicant does not need to have switched from Tier 2 (General) to Skilled Worker before applying for settlement as a Skilled Worker, as the definition of Skilled Worker in the Immigration Rules includes those with permission in the Tier 2 (General) route.

Absences from the UK must be considered in line with <u>Appendix Continuous</u> Residence. See the continuous residence guidance for further details.

Knowledge of life in the UK

The applicant must meet the Knowledge of Life in the UK requirement as set out in <u>Appendix KOL UK</u>. They do not need to meet an English language requirement for settlement, as they will have met this in their previous Skilled Worker application.

Sponsorship and salary

The eligibility rules (paragraph SW 24.1.) require the sponsor to still hold a Skilled Worker licence. The sponsor must confirm they require the applicant to work for them for the foreseeable future, and the applicant will be paid at least the minimum salary in paragraph SW 24.3. for the foreseeable future. The sponsor should not assign a new CoS for this purpose – an e-mail or letter is sufficient, as long as it can be verified with the sponsor if necessary.

This salary must be at least £20,480 per year and at least the going rate for the job (whichever is higher), if any of the following circumstances apply:

- The applicant was sponsored in their most recent permission for a job in a shortage occupation. (This includes cases where their job was removed from <u>Appendix Shortage Occupation List</u>, but they were sponsored to continue working in the same job for the same sponsor.)
- The applicant was sponsored in their most recent permission in a health or education occupation code listed in Table 2 of <u>Appendix Skilled Occupations</u>.
- The 5-year qualifying period for settlement includes time in Tier 2 (General), during which the applicant was sponsored in one of the following occupation codes:
 - 2111 Chemical scientists
 - 2112 Biological scientists and biochemists
 - 2113 Physical scientists
 - 2114 Social and humanities scientists
 - 2119 Natural and social science professionals not elsewhere classified
 - 2150 Research and development managers
 - 2311 Higher education teaching professionals

In all other circumstances, the salary must be at least £25,600 per year and at least the going rate for the job (whichever is higher).

Where the salary at settlement is below the salary set out in the CoS for the most recent permission, you should discuss the case with a manager to consider whether there have been previous breaches of conditions. There may be legitimate reasons for a reduction in salary.

Please note for settlement applications:

- there is no reduction to the £25,600 threshold for relevant PhD qualifications or new entrants; and
- there is no reduction to the going rate, for any reason.

Assessing salary

You must consider the salary in the same way as set out in the "Assessing salaries" section of this guidance. You can only consider the salary for the first 48 hours a week towards the £20,480 or £25,600 threshold.

You can only include salary from the applicant's sponsored job. You cannot include earnings from supplementary employment. If the applicant is undertaking secondary employment (see <u>Conditions of stay</u> for details), they can use the salary linked to either sponsor, but cannot combine salaries from both.

You should check the applicant's PAYE records for the past 12 months.

Until automated PAYE checks are available, applicants should provide a payslip and either a bank/building society statement or building society pass book, covering their most recent month's pay, with their application. These documents should be dated no earlier than 31 days before the date of application. You do not need to check their records for the past 12 months unless you have particular concerns.

If these do not support the minimum salary the sponsor states the applicant will be paid for the foreseeable future, you should discuss the case with a manager and consider requesting further information from the sponsor about why they claim the applicant will be paid more now and in future. You will need to assess the credibility of such claims, on the balance of probabilities. Relevant factors can include:

- the size of any pay increase;
- whether the applicant has already been paid the increase and for how long;
- evidence the applicant has been offered a promotion and/or increased responsibilities; or
- evidence the sponsor's business can support the salary increase.

This is not an exhaustive list. Generic explanations, unsupported by evidence, should not be accepted.

An applicant may currently be absent from work for any of the following reasons:

- statutory maternity leave, paternity leave or parental leave;
- statutory adoption leave;
- sick leave;
- assisting with a national or international humanitarian or environmental crisis (providing their sponsor agreed to the absence for that purpose); or
- taking part in legally organised industrial action.

If the sponsor has stated the applicant is currently absent from work for one of these reasons or has returned from such an absence within the month before the date of application, they may currently be on reduced or nil pay. You must consider their salary on their return to work, as stated by their sponsor.

You must also bear in mind the impact reduced or nil pay will have had on their PAYE records. You should ask the sponsor to confirm the dates of the absence(s) (if they have not already done so) and check earlier PAYE records if necessary.

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Validity for entry clearance an permission to stay applications
Suitability for entry clearance and permission to stay applications
Assessing salaries
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Grant or refuse

This section tells you how to grant or refuse an application under the Skilled Worker route.

The actions you must take will depend on the type of application:

- entry clearance
- entry at UK port
- permission to stay

Dates of permission granted

For non-EEA nationals, and EEA nationals applying from 1 January 2021, you should grant entry clearance with effect from either the date of decision or a date requested by the applicant, whichever is later.

(Entry clearance caseworkers should note this is a change from the previous Tier 2 Rules. There is no longer a restriction against entry clearance beginning more than 14 days before the start date stated on the applicant's certificate of sponsorship (CoS).)

Permission to stay should be granted with effect from the date of decision.

In both circumstances, the end date of permission must be 14 days after the end date stated on the applicant's CoS. The end date on their CoS can be up to a maximum of five years after the start date on their CoS.

If the end date on the CoS passed 14 or more days before you make the decision, you cannot grant entry clearance.

For applications for permission to stay, if the end date of the CoS has passed, you may exceptionally grant 14 days permission to stay to allow the applicant to make a further application or leave the UK without becoming an overstayer. You should only do this because of delays outside the applicant's control, such as:

- Home Office process delays; or
- suspension then reinstatement of their sponsor's licence.

EEA nationals applying before 1 January 2021

You should grant permission beginning no earlier than 1 January 2021 for an EEA national who makes an application for entry clearance before 1 January 2021.

You must treat applications for permission to stay before 1 January 2021 from these nationals as invalid and reject them.

Irish citizens

Once free movement between the EU and UK ends on 31 December (11 pm), Irish citizens' status will continue to be protected. As a result, Irish citizens will not be eligible to apply for permission under the Immigration Rules. You must reject any application for a visa from an Irish citizen as invalid when made at or after 11pm on 31 December, except where they are subject to:

- a deportation order made under section 5(1) of the Immigration Act 1971;
- an exclusion decision, or an exclusion order made under regulation 23(5) of the Immigration (European Economic Area) Regulations 2016; or
- a travel ban implemented under section 8B of the Immigration Act 1971.

If an Irish citizen falls within one of the above categories, you should consider their application in line with the Rules in the same way as any other applicant.

Where an Irish citizen chooses to apply before 11pm on 31 December, you should contact the applicant to explain they would not be permitted to apply for permission from 1 January onwards, they will have rights under section 3ZA of the Immigration Act 1971 and don't require permission, and they may want to withdraw their application. Please contact the CTA Policy Team for further information before you do so. If, despite this, they wish to proceed, you must consider their application in the same way as any other applicant.

Digital status

EEA nationals making an application using the UK Immigration: ID Check app will be given digital status if they are granted permission.

Other EEA and non-EEA nationals will be given a biometric residence permit if they are granted permission for longer than 6 months.

Related content

Grant or refuse entry clearance

This page tells you how to grant or refuse entry clearance on the Skilled Worker route.

Endorsements

You must use one of the following endorsements:

- SKILLED WORKER MIGRANT
- SKILLED WORKER MIGRANT HEALTH & CARE

The category is Skilled Worker

Biometric information for entry clearance

Successful applicants for entry clearance are given either a digital status or a biometric resident permit (BRP). If the entry clearance application is successful, you must give those given a BRP a 30-day visa to allow them to collect their BRP after they have arrived in the UK. Due to the coronavirus situation, these 30-day visas have been temporarily extended to 90 days.

Refuse entry clearance

You must refuse the application if you are not satisfied the applicant has met all the suitability and eligibility requirements of <u>Appendix Skilled Worker</u>, or if any of the grounds for refusal in <u>Part 9: Grounds for Refusal</u> apply.

Rights of appeal and administrative review – entry clearance applications

If an application for entry clearance is refused, the applicant cannot appeal against our decision. However, if they think the Home Office has made an error in considering their application, they can apply for an <u>administrative review</u>.

Related content

Contents Grant or refuse

Grant or refuse entry at UK port

You must refuse under paragraph 9.14.1 of the Immigration Rules if someone seeks entry as a Skilled Worker without a valid UK entry clearance or leave to remain for this purpose.

If you are considering cancelling an applicant's entry clearance or permission to stay as a Skilled Worker, you must refer to Part 9 of the Immigration Rules.

Related content

Contents Grant or refuse



Grant or refuse permission to stay

This page tells you how to grant or refuse permission to stay on the Skilled Worker route.

Biometric information

Successful applicants for permission to stay are given either a digital status or a biometric resident permit (BRP). You must check the biometric residence permit (BRP) system before you submit a BRP card production request.

Rights of appeal and administrative review

If an application for permission to stay is refused, applicants cannot exercise a right of appeal in country. However, if they think the Home Office has made an error in considering their application, they can apply for an <u>administrative review</u>. Details of how to make an administrative review application must be included in the decision letter.

If the applicant raises consideration of any of human rights, section 47 and section 55, see:

- Safeguard and promote child welfare
- Section 55 and the child's best interests
- Human rights considerations: Article 8
- ISG 01 19 13 Removal decisions under section 47 of the Immigration, Asylum and Nationality Act 2006

Related content

Contents
Grant or refuse

Conditions of stay

This page tells you about the conditions an applicant must meet if they are granted permission on the Skilled Worker route.

Applicants granted entry clearance or permission to stay in the Skilled Worker route are subject to the following conditions:

- they cannot take employment except:
 - working for the sponsor in the job recorded on their certificate of sponsorship; and
 - supplementary employment; and
 - voluntary work (see below); and
 - working out a contractual notice period for any job they were lawfully working in on the date of application.
- they have no access to <u>public funds</u>
- they must register with the police, if they are required to do so by <u>Part 10 of</u> the <u>Immigration Rules</u>
- study (with no limit on the number of study hours if it doesn't interfere with the job they have been sponsored to do) subject to the following restriction:

The applicant can do voluntary work in any sector. They must not be paid or receive other money for the voluntary work, except reasonable expenses as described in section 44 of the National Minimum Wage Act.

The Skilled Worker is allowed to study, but they must obtain an Academic Technology Approval Scheme (ATAS) certificate for the course or research they intend to undertake and present it to their education provider before they start their study if:

- they are not a national of the countries listed in <u>Appendix ATAS 3.1</u>
- their course is in a subject listed in Appendix ATAS 4.1 and it:
 - leads to a master's degree; or
 - leads to a PhD; or
 - leads to another postgraduate qualification; or
 - is a period of study or research which is part of an overseas postgraduate qualification.

If their course (or research) completion date is postponed or delayed for more than 3 calendar months or there are any changes to the course contents (or the research proposal), they must apply for a new ATAS certificate within 28 calendar days and must provide a printout of the new certificate to their education provider promptly.

Related content

Contents
Changes of employment
Supplementary and secondary employment

The certificate of sponsorship checking system

This section tells you how to check a certificate of sponsorship (CoS) using the checking system and how to record it as used on the system.

How to search the certificate of sponsorship checking system

You can access the checking system using their username and password. To access the search function, click 'CoS check'. The checker times out every 30 minutes, so you may need to log in again after this time.

You can search the system using the:

- CoS reference number, by entering it into the relevant screen
- Applicant's details
- Sponsor's details

Searching using the applicant's details

If you select this option, you can search by:

- passport or travel document number
- family name
- given name
- nationality
- date of birth
- gender

The more information is provided, the narrower the search will be.

Searching using the sponsor's details

If you select this option, you can search by:

- sponsor licence number
- sponsor name
- sponsor address
- postcode

When you check the CoS, you must:

- find it on the CoS checking system
- check the case type given on the caseworking system matches the type of CoS issued - this is on the top of the certificate

- record it as used in all approval and refusal cases
- not mark it as 'used' if you are rejecting the application as invalid, or withdrawing or voiding the application, or the applicant is varying it to another route, because no Skilled Worker decision has been made and they could use it again

Check the current status of the certificate of sponsorship

Status of certificate of sponsorship on the checking system:	What you must do:	
Assigned	Continue to assess the application.	
Suspended	 not decide the case keep it on hold contact the Sponsor Licensing Unit (SLU) to find out if they will be re-instating the sponsor or if it will be suspended indefinitely, and what information you can share with the applicant If they do not issue a new CoS, you 	
Withdrawn	must refuse the application. Refuse the application if the sponsor	
William	does not assign another CoS because it is no longer valid.	
Used	Check to see if the sponsor has assigned a new CoS.	
	If not, you must refuse the application because there is no valid certificate of sponsorship.	

Related content

Requesting more information

This page tells you about requesting more information or supporting documents related to Skilled Worker applications.

Applicants and their sponsors should provide all the necessary evidence and information with the application and certificate of sponsorship (CoS). If, however, there is a clear error or omission, it may be appropriate for you to discuss the application with a manager or technical expert to consider contacting the applicant and/or their sponsor to invite them to provide additional evidence or information.

Taking a fair and proportionate approach to assessment of evidence

You must review the information on the application form and other available evidence before deciding whether you are satisfied on the balance of probabilities (it is more likely than not) a requirement is met.

If the evidence with the application is meant to show the requirement is met and you are not satisfied the evidence is genuine, you should consider the guidance on <u>false</u> representations.

Format of evidence

The Immigration Rules no longer set out specific format requirements for most documents. This doesn't mean format is irrelevant – it will help you assess if a piece of evidence is genuine and if it provides the information needed for you to be satisfied a requirement is met. You must not refuse an application because the evidence is not in a particular format, but you may request alternative or additional evidence if you are not satisfied what the applicant (or their sponsor) has provided shows the requirements of the Rules are met.

If evidence, such as a bank letter, does not include the information you would normally expect, you should consider whether to take further action to verify it.

Where evidence is missing or inadequate

The applicant will be told what evidence to provide as part of the application process. However, sometimes evidence is missing or inadequate to enable you to assess whether the financial requirement is met.

You should consider seeking further information or making verification checks when, for example:

 evidence is missing (for example a missing page from a series) and you believe the applicant has, or could obtain it evidence is inadequate, but could be clarified, for example, if a letter from an
official financial sponsor does not include all the information you would expect

You should check any discrepancies about information on the CoS with the sponsor.

You may decide to ask for further information from the applicant, sponsor, or issuing institution (for example, the bank which issued the applicant's financial documents) or make verification checks in other cases if you think it would help assess whether the requirements are met. If you are not sure whether this would help, you should discuss this with a manager.

When contacting the applicant or sponsor, they should be given 10 UK working days in which to provide a response.

You do not need to contact the applicant or sponsor if evidence is missing or inadequate, if:

- you do not need the information because you can find it elsewhere, for example, from the certificate of sponsorship
- receiving it would make no difference to the decision (for example because you would still refuse the application for other reasons)

If the evidence supplied is inadequate, you do not have to offer the applicant an opportunity to provide different evidence. For example, if the applicant provides bank statements and they do not show the required level of funds or the evidence is not sufficient, you do not need to check whether the applicant has another bank account which might meet the requirement.

Failure to supply requested information

If you request additional information from the applicant or their sponsor, you should ask them to provide it, or an explanation why they are unable to, within 10 working days of the date you send the request letter.

If you do not receive the requested information in this timeframe, you must assess whether any excuse provided is reasonable and if so, you should give the applicant more time to respond. If the applicant does not provide a reason or the reasons they give are not satisfactory, you may refuse the application.

Related content



Immigration Skills Charge

This section tells you how to check the sponsor has paid the Immigration Skills Charge (ISC). It also explains how to request a top-up payment or refund through the ISC admin team.

Checking the sponsor has paid the ISC

The ISC payment is linked to the assignment of the certificate of sponsorship (CoS), not the application for entry clearance or permission to stay. It is paid by the sponsor.

An application is exempt from the ISC if any of the following apply:

- the applicant is seeking entry clearance for less than 6 months.
- the job is a PhD-level occupation under SOC codes 2111, 2112, 2113, 2114, 2119, 2150 or 2311 (note this is not the same as the list of occupations which are eligible for PhD points).
- The job is in SOC codes 2444 (Clergy), 3441 (Sports Players) or 3442 (Sports coaches, instructors and officials). These occupations are not eligible for skilled worker and must apply under the relevant route (either T2 Sportsperson or T2 Minister of Religion).
- the applicant currently has permission for the purpose of study (i.e. is switching from the Tier 4 (General) route or the Student route).
- The applicant was previously exempt having switched from the Tier 4 (General) route or the Student route and is now applying to extend their permission in the same role with the same sponsor.
- the sponsor is the same as on the applicant's previous application and the
 period covered by the new certificate of sponsorship overlaps the period
 covered by the previous certificate of sponsorship (the exemption only covers
 the overlap; the sponsor must pay the ISC to cover any extra time beyond the
 overlap)
- the applicant initially entered Tier 2 (General) or Tier 2 (Intra-Company Transfer) with a certificate of sponsorship assigned before 6 April 2017 and has held continuous permission ever since under:
 - o Tier 2 (General)
 - Tier 2 (Intra-Company Transfer)
 - Skilled Worker
 - o Intra-Company Transfer.

Charging costs

This section explains how much a sponsor is charged and the length of employment given on the CoS.

Applications which do not fall under an exemption attract a fee based on the type of sponsor and the length of the CoS.

A sponsor is eligible to pay the small or charitable sponsor ISC if it has charitable status, or it is subject to the small companies' regime as set out in chapter 1, paragraphs 381-384 of the Companies Act 2006, or it has no more than 50 employees. This is consistent with the differential rate that sponsors currently pay for a Skilled Worker sponsor licence. If the sponsor does not pay the charge or does not pay the right amount, the CoS is not valid.

To establish whether the sponsor has paid the correct charge, you must:

- check the ISC payment amount using the CoS checker
- use the work start and end dates on the CoS to determine the length of employment then use the below table to ensure the correct payment has been made:

Work start and end dates	Small or charitable sponsor	Medium or large sponsor
12 months or less	£364	£1000
More than 12 months, but no more than 18 months	£546	£1500
More than 18 months, but no more than 24 months	£728	£2000
More than 24 months, but no more than 30 months	£910	£2500
More than 30 months, but no more than 36 months	£1092	£3000
More than 36 months, but no more than 42 months	£1274	£3500
More than 42 months, but no more than 48 months	£1456	£4000
More than 48 months, but no more than 54 months	£1638	£4500
More than 54 months, but no more than 60 months	£1820	£5000

Contacting the ISC admin team

You will need to e-mail the <u>ISC Admin Team</u> whenever the sponsor needs to pay either:

- the full charge
- a top-up payment

You must also contact the ISC Admin Team where a partial refund is required.

You must send all emails from your Team Mailbox and format the subject heading of your e-mail as below:

'Department name - CID reference - CoS reference - action required'

For example:

'PSC – 17571913 - E4G7TK6D12M0J1 – partial refund'

In the body of the email you will need to confirm:

- the sponsor
- CoS reference number
- applicant's details
- reason for top-up/refund
- top-up/refund value

The ISC Admin Team will reply to your team mailbox to confirm the sponsor has made the correct payment or after 10 UK working days if they haven't paid in full.

Further details on the ISC, including a list of frequently asked questions, are included in separate <u>ISC guidance</u>.

Sponsor pays top-up after write-out

Once the sponsor has paid the correct charge, you must complete consideration of the case as normal.

Granting a shorter period of permission

If you grant an applicant a shorter period of permission than they requested, the sponsor may need a partial refund. You must:

- send an e-mail to the <u>ISC Admin Team</u> to request a partial refund using the agreed naming convention confirming the sponsor, CoS reference number, applicant's details, reason for refund and refund value
- add Admin Event 'ISC Partial Refund migrant granted lesser period of leave' to CID

Granting leave where sponsor has overpaid

If you grant an applicant leave, but the sponsor has overpaid the ISC, such as if the sponsor paid the large sponsor payment when they qualify as a charity, the sponsor will need a partial refund. You must:

- email the <u>ISC Admin Team</u> to a request partial refund using the agreed naming convention confirming the sponsor, CoS reference number, applicant's details, reason for refund and refund value
- add Admin Event 'ISC Partial Refund overpayment' to CID

Refusing the application

If you refuse the application and the sponsor is due a full refund, you do not need to request a refund. The refund will be picked up by the ISC Admin Team using Management Information (MI).

If the sponsor does not pay the top-up after writing out

You must continue with consideration of the application. The appropriate Admin Event must be added to CID when refusing case, for example:

- 'Refusal Reason ISC only'
- Where the only ground for refusal is the sponsor has not paid the necessary ISC and we have given them at least 10 UK working days from the date of the appointment / initial case consideration to pay it
- 'Refusal Reason ISC plus other'
- where the sponsor has paid the incorrect ISC, but this is not the only reason for refusing the application

Related content